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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Sheet _1_ of _1

In the Application of Timothy C. DUNN, et al.

Confirmation No. 3705

Serial No.: 10/626,896

Art Unit: 1641

Filed: July 24, 2003

Examiner: C. Chin

Title: METHOD AND DEVICE FOR PREDICTING PHYSIOLOGICAL VALUES

C	10-6	Decree and Ma	I D-4-	I M	l Oleses	10.4	T #***
Exam.Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
u	CA-1	6,180,416	January 30, 2001	Kurnik , et al.			9/30/98
1	CA-2	6,326,160	December 4, 2001	Dunn, et al.			9/27/99
•	CA-3	6,633,772	October 14, 2003	Ford , et al.			8/10/01
	CA-4	6,653,091	November 25, 2003	Dunn , et al.			7/23/01
	CA-5	2002-0026110	February 28, 2002	Parris, Norman A., et al.			5/14/01
	CA-6	2002-0026111	February 28, 2002	Ackerman, Neil			8/10/01
1	CA-7	2003-0050546	March 13, 2003	Desai, Shashi P., et al.			6/21/02
и	CA-8	2003-0235817	December 25, 2003	Bartkowiak, Miroslaw, et al.			3/21/03

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 2

In the Application of DUNN, et al.

Serial No.: 10/626,896

Art Unit: 1641

Filed: 07/24/2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
u	CA-1	6,233,471	May 15, 2001	Berner, et al.			5/11/99
u	CA-2	6,088,608	Jul 11, 2000	Schulman, et al.			10/20/97
u	CA-3	5,771,890	Jun 30, 1998	Tamada			6/24/94
	CA-4	6,653,091	Nov 25,2003	Dunn, et al.			7/23/01
u	CA-5	6,424,847	Jul 23, 2002	Mastrototaro, et al.			2/23/00
	CA-6			·			
	CA-7	·			 	 	

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Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO			
	CB-1									
										

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Examiner:_		····	Date Considered:	

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 2

In the Application of DUNN, et al.

Serial No.: 10/626,896

Art Unit: 1641

Filed: 07/24/2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

	ОТН	IER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)
Exam. Init.	Ref. Desig.	Description
in	CC-1	Von Woedtke, et al, "In situ calibration of implanted electrochemical glucose sensors," Biomed. Biochim. Acta, 48(11-12), 943-952 (1989)
	CC-2	Poitout, V., et al., "Calibration in dogs of a subcutaneous miniaturized glucose sensor using a glucose meter for blood glucose determination," Biosensors & Bioelectronics 7:587-592 (1992)
	CC-3	Velho, G., et al., "Strategies for calibrating a subcutaneous glucose sensor," Biomed. Biochim. Acta 48(11-12):957-964 (1989)
J	CC-4	Schmidtke, D.W., et al., "Accuracy of the One-Point in Vivo Calibration of Wired" Glucose Oxidase Electrodes Implanted in Jugular Veins of Rats in Periods of Rapid Rise and Decline of the Glucose Concentration," Anal. Chem. 70:2149-2155 (1998)
u	CC-5	Csőregi, E., et al., "Design, Characterization, and One-Point in Vivo Calibration of a Subcutaneously Implanted Glucose Electrode," Anal. Chem. 66:3131-3138 (1994)

0	Cl.		2//	/
Examiner:	<u> </u>	Date Considered:		07

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FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 1

in the Application of DUNN et al.

Serial No.: 10/629,896

Art Unit: 1641

Filed: July 24, 2003

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

			U.S. PATENT DOC	CUMENTS			
Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	SubCl ass	Filling Date
Oc	BA-1	6,180,426					
u	BA-2	5,326,160					

	FOREIGN PATENT DOCUMENTS									
Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub- Class	Trans	lation NO		

OTHER DOCUMENTS (including Author, Title, Date, Perlinent Pages, etc.)								
Exam. Init.	Ref. Desig.	Description						
Examiner:	c. Cl	Date Considered:	2/19/07					

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet _1_ of _3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

			U.S. PATENT DOCUMEN	тѕ			
Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	SubCl ass	Filing Date
u	AA-1	5,279,543	January 18, 1994	Glikfeld et al.			
1	AA-2	5,362,307	November 8, 1994	Guy et al.			
	AA-3	5,636,632	June 10, 1997	Bommamman et al.			
1	AA-4	5,730,714	March 24, 1998	Guy et al.			
u	AA-5	5,735,273	April 7, 1998	Kurnik et al.			
	AA-6	5,771,890	June 30, 1998	Tamada			
u	AA-7	5,827,183	October 27, 1998	Kumik et al.	<u> </u>		**********
u	AA-8	5,954,685	September 21, 1999	Tierney	 		
u	AA-9	5,989,409	November 23, 1999	Kurnik et al.			
	AA-10	6,180,416	January 30, 2001	Kurnik et al			

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

			FOREIGN PATENT DO	CUMENTS				
Exam. Init.	Ref. Desig.	Document No. WO 95/02357	Publication Date January 26, 1995	Country or Patent Office	Class	Sub- Class	Translation YES NO	
cı								<u> </u>
	AB-2	WO 96/00109,	January 4, 1996	PCT				
	AB-3	WO 96/00110	January 4, 1996	PCT				<u> </u>
	AB-4	WO 96/35370	November 14, 1996	PCT			1	
	AB-5	WO 97/02811	January 30, 1997	РСТ				
	AB-6	WO 97/10356	March-20, 1997	PCT				
	AB-7	WO 97/10499	March 20, 1997	PCT				
	AB-8	WO 97/24059	July 10, 1997	PCT				
	AB-9	WO 98/42252	October 1, 1998	PCT				
	AB-10	WO 99/58050	November 18, 1999	PCT				
	AB-11	WO 99/58051	November 18, 1999	PCT		-		
1	AB-12	WO 99/58190	November 18, 1999	PCT				
ce	AB-13	WO 99/58973	November 18, 1999	PCT				

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 3 of 3

In the Application of DUNN et al.

Serial No.: not yet assigned

Art Unit: 1641

Filed: concurrently herewith

Examiner: C. Chin

Title: METHOD AND DEVICE PREDICTING PHYSIOLOGICAL VALUES

		HER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Exam. Init.	Ref. Desig.	Description Alcock et al., "Continuous Analyte Monitoring to Aid Clinical Practice," I.E.E.E.Engineering in Medicine & Biology 13:(3):319-325 (1994)		
cu.	AC-1			
	AC-2	Barlow, T.W., "Feed-Forward Neural Networks for Secondary Structure Prediction," Journal of Molecular Graphics 13:175-183 (1995)		
	AC-3	Ghahramani, Z. And Wolpert, D.M., "Modular Decomposition in Visuomotor Learning," Nature 386(6623):392-395 (1997)		
	AC-4	Hamilton, J.D. and Susmel, Raul, "Autoregressive Conditional Heteroskedasticity and Changes in Regime," <i>Journal of Econometrics</i> 64:307-333 (1994)		
	AC-5	Hu et al., "Customized ECG Beat Classifier Using Mixture of Experts," Proceedings of the 1995 IEEE Workshop pp. 459-464 (1995)		
	AC-6	Jordan et al., "Hierarchical Mixtures of Experts and the EM Algorithm," Neural Comput. 6(2):181-214 (1994)		
	AC-7	Waterhouse, Steven Richard, "Classification and Regression Using Mixtures of Experts," Jesus College, Cambridge and Department of Engineering, University of Cambridge, October 1997		
	AC-8	Waterhouse et al., in: D.S. Touretzky (Ed.) "Bayesian Methods for Mixtures of Experts," Advances in Neural Information Processing Systems MIT Press. Cambridge MA. 8:351-357 (1996)		
u	AC-9	Weigend et al., "Nonlinear Gated Experts for Time Series: Discovering Regimes and Avoiding Overfitting," International Journal of Neural Systems 6(4):373-399 (1995)		

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